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10/733,326	12/12/2003	Sladjana Petrovic	38898-0059	9081

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RIDOUT & MAYBEE  
SUITE 2400  
ONE QUEEN STREET EAST  
TORONTO, ON M5C3B1  
CANADA

EXAMINER
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JOHNSON, CARLTON

ART UNIT	PAPER NUMBER
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2136

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06/01/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/733,326	Applicant(s) PETROVIC, SLADJANA	
	Examiner Carlton V. Johnson	Art Unit 2136	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 20 March 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-34 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. This action is responding to application papers filed **3-20-2007**.
2. Claims **1 - 34** are pending. Claims **1, 13, 23** are independent.

### ***Response to Remarks***

3. The following is in response to remarks dated 3-20-2007.

- 3.1 Applicant argues, a web farm. (see Remarks Pages 9, 10)

The Specification does not disclose a definition for a web farm. The Specification mentions that a web farm contains two (or more ?) servers and a database for session information storage. Therefore, the standard definition for a web farm is applied. A web farm is defined as, *"A Web server farm, or Web farm, refers to either a Web site that runs off of more than one server "*.

([http://www.webopedia.com/TERM/S/server\\_farm.html](http://www.webopedia.com/TERM/S/server_farm.html)) The Williams prior art discloses an equivalent distributed data processing system as a web farm. The Williams prior art discloses multiple server systems utilized to process requests (perform requested services) from multiple client systems. (see Williams paragraph [0036], lines 3-4; paragraph [0037], lines 16-19: multiple servers, multiple clients)

- 3.2 Applicant argues, common session database, and redirection of service requests between servers. (see Remarks Pages 9, 10)

The Williams prior art discloses a database for the storage of session management information. (see Williams paragraph [0037], lines 10-12; paragraph [0075], lines 12-16: database, storage). In addition, the Williams prior art discloses the capability to redirect service requests from one server to another server. A service request (despite login request, a service request is still processed) is redirected to a second server for service completion. (see Williams paragraph [0067], lines 12-18: redirection of session token and session information, redirection request for resources)

The Williams prior art discloses a system and a method for secure session management within a collection of web server systems (web farm) using a session token. The claim limitations disclose that the token is renewed after each use. (see Specification Page 2, Paragraph [0006], lines 7-9) A session management web service updates the session token with each request received from a browser. (see Williams paragraph [0016], lines 7-13; paragraph [0016], lines 4-7: generate new encrypted session token and transfer) If the request must be redirected to a new server where the requested resource is located (see Williams paragraph [0067], lines 12-18: redirection of session token and session information, redirection request for resources) then the decrypted session token is transmitted to the new server and the session management web service generates a new session token to be used in place of the previous session token. The new session token is transmitted to the browser with the requested web resource.

The Williams prior art discloses server(s) utilized for authentication and session token(s) generation. The Williams prior art disclose the capability for session tokens to be encrypted and decrypted during session token processing. (see Williams paragraph [0051], lines 14-16: encryption/decryption utilized for security) Once client access procedures are completed, the Williams prior art processes service requests to access a required resource.

The Williams prior art discloses a web farm data processing system. The Williams prior art discloses storage capabilities, and the capability to redirect service requests. In addition, the Williams prior art discloses the capability to encrypt and decrypt a session token.

The referenced prior art discloses the claim limitations.

3.3 The examiner has considered the applicant's remarks concerning a system and method for secure session management in a web farm utilizing a session token, which is updated with each request received from a browser. The capability exists for the redirection of a request to a new server to locate the requested resource, and encryption/decryption of session token(s). Applicant's arguments have thus been fully analyzed and considered but they are not persuasive.

After an additional analysis of the applicant's invention, remarks, and a search of the available prior art, it was determined that the current set of prior art consisting of

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Williams (20030005118) and Bachman (5,907,621) discloses the applicant's invention including disclosures in Remarks dated March 20, 2007.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102(e) that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1 - 6, 9 - 18, 21 - 28, 31 - 34 are rejected under 35 U.S.C. 102(e) as being anticipated by **Williams et al.** (US PG PUB No. **20030005118**).

**Regarding Claims 1, 23**, Williams discloses a method, computer program product of secure session management for a web farm, the web farm including a first server and a second server, the second server having a requested web page, the method comprising the steps of:

- a) receiving, at the first server, a request for the requested web page from a browser, said request including an encrypted session token; (see Williams paragraph [0019], lines 1-5: request processing; paragraph [0016], lines 1-4;:

session token; paragraph [0050], lines 10-16; paragraph [0051], lines 14-16:  
encryption utilized for security)

- b) decrypting said encrypted session token at the first server to obtain a session token; (see Williams paragraph [0020], lines 8-11: validate (must decryption required to process encrypted information) session information, process encrypted session information)
- c) redirecting said request to the second server, including transmitting said session token to the second server; (see Williams paragraph [0067], lines 12-18: redirection of session token and session information) and
- d) verifying said session token. (see Williams paragraph [0020], lines 8-11; paragraph [0074], lines 7-11: validate session token information, client and session identification information)

**Regarding Claims 2, 24,** Williams discloses the method, computer program product claimed in claims 1, 23, further including steps of creating a new session token, encrypting said new session token at the second server to produce a new encrypted session token, and transmitting a response to said browser from the second server, wherein said response includes said new encrypted session token. (see Williams paragraph [0016], lines 7-13; paragraph [0016], lines 4-7: generate new encrypted session token and transfer)

**Regarding Claims 3, 5, 15, 17, 25, 27,** Williams discloses the method, system,

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computer program product claimed in claims 2, 13, 14, 23, 24, wherein said session token includes a session ID and a timestamp, and wherein said step of creating a new session token includes generating a new session ID and updating said timestamp. (see Williams paragraph [0062], lines 9-16; paragraph [0050], lines 1-5: session token, session ID and timestamp)

**Regarding Claims 4, 16, 26**, Williams discloses the method, system, computer program product claimed in claims 2, 14, 24, further including a step of updating a common session database by replacing said session token with said new session token in said common session database. (see Williams paragraph [0069], lines 9-15: database for session token information storage)

**Regarding Claims 6, 18, 28**, Williams discloses the method, system, computer program product claimed in claims 5, 17, 27, wherein a common session database contains a stored session ID and a stored timestamp, and wherein said step of verifying includes comparing said session ID and said timestamp with said stored session ID and said stored timestamp. (see Williams paragraph [0069], lines 9-15: database for session token information storage; paragraph [0062], lines 9-16; paragraph [0050], lines 1-5: session token, session ID and timestamp; paragraph [0020], lines 8-11: verification session information)

**Regarding Claims 9, 21, 31**, Williams discloses the method, system, computer



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program product claimed in claims 1, 13, 23, wherein said step of transmitting includes incorporating said session token into a URL. (see Williams paragraph [0044], lines 8-12: URL processing techniques utilized)

**Regarding Claims 10, 32,** Williams discloses the method, computer program product claimed in claims 1, 23, wherein a session management web service performs said step of verifying, said session management web service being accessible to said first server and said second server, and wherein said step of verifying includes comparing said session token with stored session data. (see Williams paragraph [0020], lines 8-11: session information verification)

**Regarding Claims 11, 33,** Williams discloses the method, computer program product claimed in claims 10, 32, wherein the web farm further includes a common session database containing said stored session data. (see Williams paragraph [0013], lines 5-9; paragraph [0036], lines 3-4: web farms, set of interconnected web servers)

**Regarding Claims 12, 22, 34,** Williams discloses the method, system, computer program product claimed in claims 1, 13, 23, wherein said requested web page includes a web resource selected from the group including an applet, an HTML page, a Java server page, and an Active server page. (see Williams paragraph [0044], lines 3-8; paragraph [0042], lines 8-15: protected resource, a HTML web page)

**Regarding Claim 13**, Williams discloses a system for secure session management, the system being coupled to a network and receiving a request for a requested web page from a browser via the network, the request including an encrypted session token, the system comprising:

- a) a first server including a first request handler for receiving the request and decrypting the encrypted session token to produce a session token; (see Williams paragraph [0013], lines 5-9; paragraph [0050], lines 10-16: multiple servers, encrypted; paragraph [0020], lines 8-11: validate (i.e. must decrypt in order to process) session information)
- b) a second server including the requested web page; (see Williams paragraph [0013], lines 5-9: multiple servers; paragraph [0044], lines 3-8; paragraph [0042], lines 8-15: resource requested, a HTML web page)
- c) a common session database including stored session data; (see Williams paragraph [0069], lines 9-15: database for session token information storage) and
- d) a session management web service, accessible to said first server and said second server and including a validation component for comparing said session token with said stored session data; (see Williams paragraph [0020], lines 8-11: session verification information)
- e) wherein said first request handler redirects the request to said second server and transmits the session token to said second server. (see Williams paragraph [0067], lines 12-18: redirection capabilities)

**Regarding Claim 14**, Williams discloses the system claimed in claim 13, wherein said session management web service includes a token generator for creating a new session token for said second server, and wherein said second server includes a second request handler, said second request handler encrypting said new session token to produce a new encrypted session token and transmitting a response to said browser, wherein said response includes said new encrypted session token. (see Williams paragraph [0016], lines 7-10; paragraph [0016], lines 4-7: new session token generated and transferred; paragraph [0050], lines 10-16; paragraph [0051], lines 14-16: encrypted session token information)

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claims **7, 8, 10, 20, 29, 30** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Williams** in view of **Bachman et al.** (US Patent No. **5,907,621**).

**Regarding Claims 7, 19, 29**, Williams discloses the method, system, computer program product claimed in claims 5, 17, 27. (see Williams paragraph [0050], lines 1-5 :

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time parameter usage and processing) Williams does not specifically disclose a time out processing capability. However, Bachman discloses wherein including a step of determining whether a session has timed out, said step of determining including determining an elapsed time between said timestamp and a current server time, and comparing said elapsed time with a predetermined maximum time to determine whether said session has timed out. (see Bachman col. 1, lines 65-67: session management; col. 4, lines 11-17; col. 6, lines 10-19: process time out condition)

It would have been obvious to one of ordinary skill in the art to modify Williams as taught by Bachman to enable the capability to process a time period expiration condition. One of ordinary skill in the art would have been motivated to employ the teachings of Bachman in order to enable the capability to create a secure communications session between server and client systems and avoid distracting the client with the placement of token information within the page. (see Bachman col. 1, lines 65-67: "*... An advantage of the present invention is that a secure user session can be established between an internet server and a browser at an unsecured client. ...*"; col. 2, lines 15-17: "*... To avoid distracting the user, the token is carried in a field of the page that is normally not displayed in the presentation space. ...*")

**Regarding Claims 8, 20, 30,** Williams discloses the method, system, computer program product claimed in claims 7, 19, 29. (see Williams paragraph [0050], lines 1-5: time parameter usage and processing) Williams does not specifically disclose a time out processing capability. However Bachman discloses wherein includes a step of

closing said session if said session has timed out. (see Bachman col. 1, lines 65-67: session management; col. 4, lines 11-17; col. 6, lines 10-19: process time out condition, session erased, closed)

It would have been obvious to one of ordinary skill in the art to modify Williams as taught by Bachman to enable the capability to process a time period expiration condition. One of ordinary skill in the art would have been motivated to employ the teachings of Bachman in order to enable the capability to create a secure communications session between server and client systems and avoid distracting the client with the placement of token information within the page. (see Bachman col. 1, lines 65-67; col. 2, lines 15-17)

### ***Conclusion***

Applicant's arguments filed 3-20-2007 have been fully considered but they are not persuasive.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Carlton V. Johnson whose telephone number is 571-270-1032. The examiner can normally be reached on Monday thru Friday , 8:00 - 5:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nasser Moazzami can be reached on 571-272-4195. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Carlton V. Johnson  
Examiner

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*C.J.*

CVJ

May 21, 2007

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*5,29,07*